



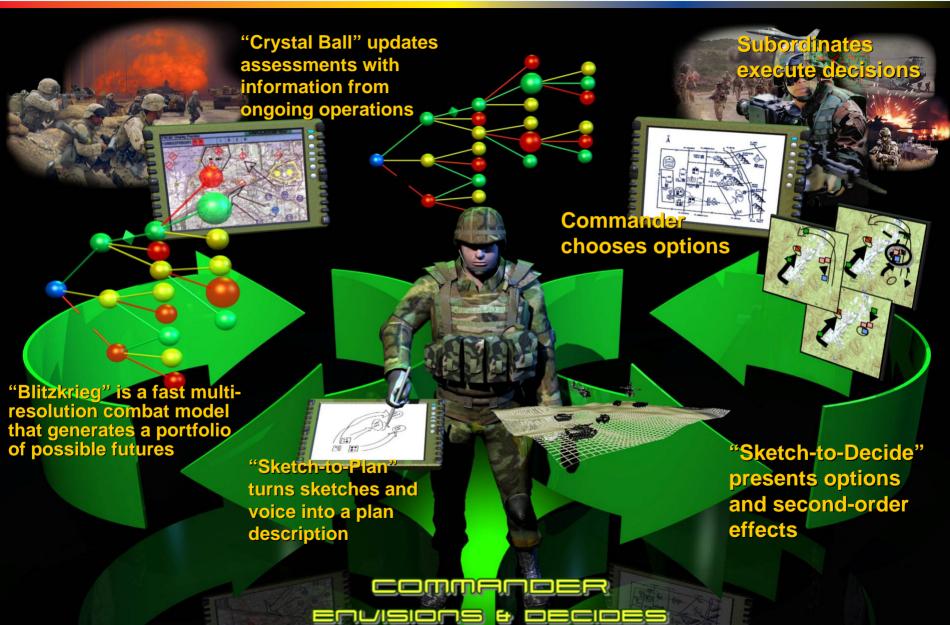
DEEP GREEN Industry Day

COL John "Buck" Surdu, PM Information Processing Technology Office

23 July, 2007

Deep Green Supercharges the Commander







The Deep Green Vision



- Enhances commanders' option generation and decision processes
 - Plan understanding from multi-modal human sketching and speech
 - Intuitive presentation of decision points and second- and thirdorder effects of decisions
- Avoids "black swans" (unanticipated plan breakage) by predicting likely futures and building options before they are needed
- Generates a broad spectrum of possible futures with an instantresponse, multi-resolution combat modeler
- Streamlined transition to the battle command community

"All current battle command efforts provide incremental improvements over existing capabilities. The only thing that provides something I don't have today is Deep Green."

BG Justice, Deputy Program Executive Officer for Command, Control and Communications Tactical (PEO C3T)



Gaps and Barriers



- Army written requirements for machine support for *commander-driven* planning and execution (italics are DARPA's):
 - Commanders require capabilities to enable more rapid decision – action cycles, with much less effort (TRADOC)
 - Capabilities to automatically present commanders with *relevant potential courses of action* (ARMY PEO C3T)
 - Enhanced cognitive understanding of battlefield dynamics (ARMY PEO C3T)
- Commanders find it difficult to:
 - Construct more than a small portfolio of handcrafted plans
 - Foresee impending plan breakage
 - Generate branches and sequels during operations before plan breakage

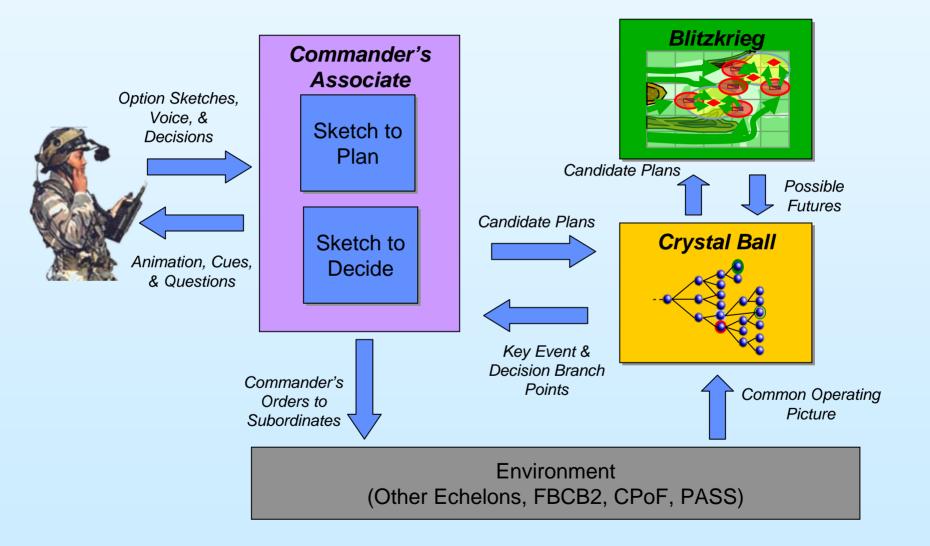
- New technology is needed for machine induction of intuitively expressed plans
- Existing AI planning & monitoring systems focus on full automation and can be brittle
- Current generation of combat models are too slow and require significant manual intervention

Today, commanders generate three courses of action, and then choose *one* to execute. Limited contingency planning is conducted; when the *one* plan breaks, the commander becomes reactive.



Deep Green is Commander-Driven





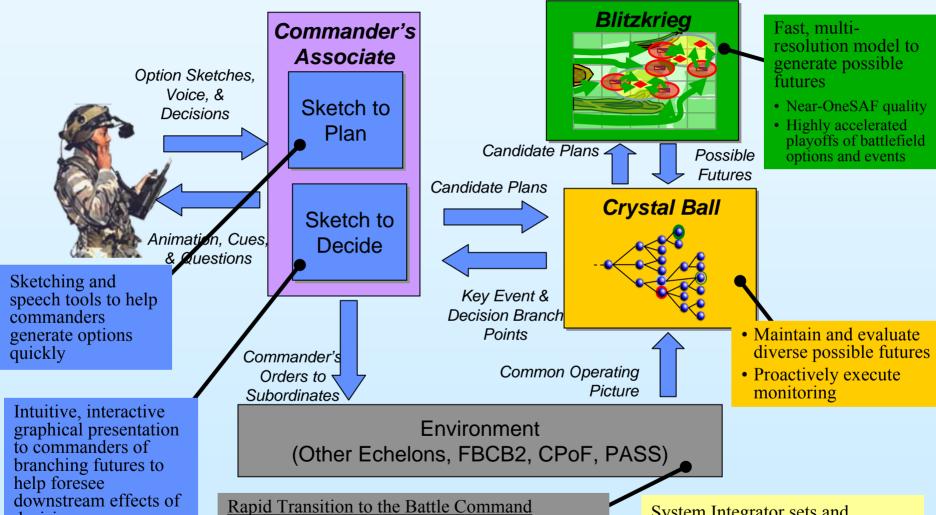


decisions

systems:

Deep Green is Commander-Driven





System Integrator sets and maintains data standards, performs component integration, transitions to battle command systems

• Leverages CPoF software development kit and

PEO C3T publish and subscribe system (PASS)



Six Separate Tasks

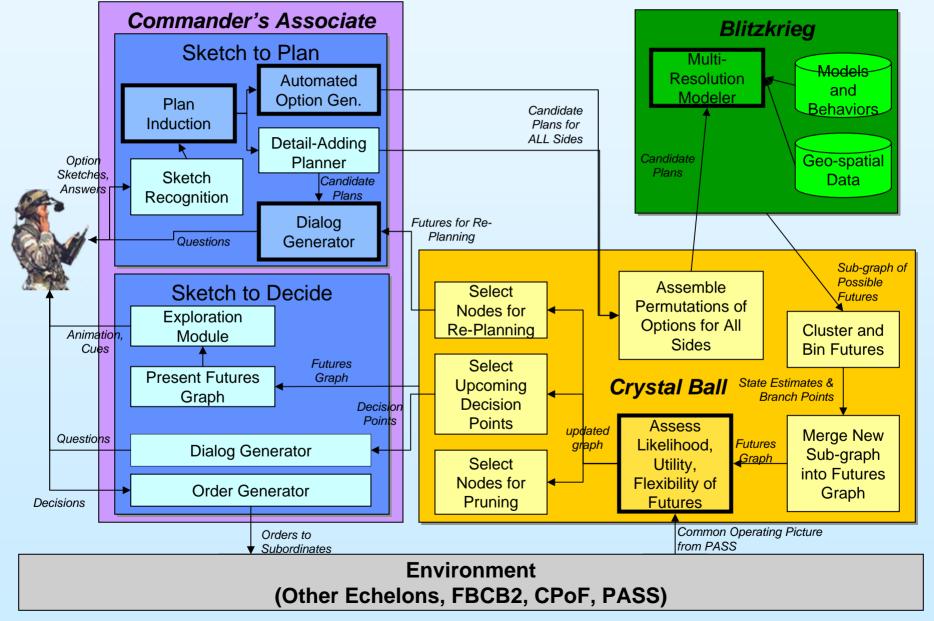


- Task 1: Commander's Associate
 - 1a Sketch to Plan
 - 1b Sketch to Decide
- Task 2: Blitzkrieg
- Task 3: Crystal Ball
- Task 4: Automated Option Generation
- Task 5: Integration
- Task 6: Test and Evaluation
- Teams may bid multiple tasks, but if you are selected for test and evaluation, you cannot win any other contracts



More Detailed Architecture View



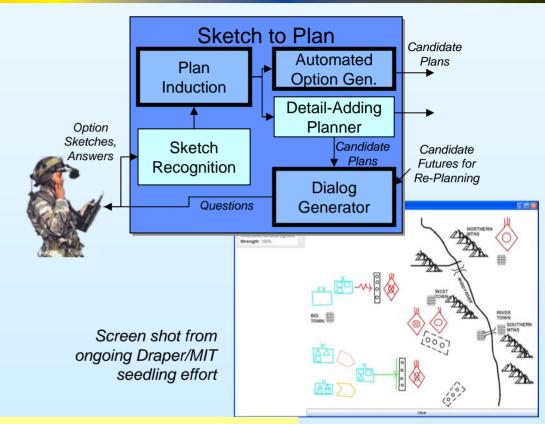




"Sketch to Plan" Concept



- Commander **draws** in "free hand" and **speaks**; STP interprets the symbols, replacing them with the correct standard military symbols
- STP *accurately* induces plans from sketch and speech, fills in missing details
- STP asks clarifying questions if it doesn't understand the sketch
- This allows commanders to specify an option at a coarse level, then move on to the next cognitive task



<u>DARPA Hard:</u> Inferring plan from sketch understanding, including clarifying questions between the commander and **Sketch to Plan**; automated option generation **Why We Think We Can Get There:**

- •Current work in military sketching (Forbus, Cohen)
- •Seedling effort with Draper Labs and MIT CSAIL (Davis)
- •Current work on mixed-initiative planning (Allen, best AAAI paper 2007)
- •Prior work on "natural" commander's intent language (Alphatech/BAE)
- •Recent work in semi-automated plan generation (Myers, Wilkins), playbook approaches to semi-autonomous control (Goldman)



Task 1a: Sketch to Plan



- Multi-modal (sketch and speech)
- Free-hand sketching, not pallet-driven
- Allow COMMANDERS and their staffs to generate options
- Detail adding planner assists, but does not generate options
- MIL STD 2525b recognition
- Provide user feedback
- Formulate clarifying questions
- Output: enhanced MSDL
- Expectation:
 - Technical approach to sketch understanding, plan induction, induction of commander's intent, and query generation



Task 1a: Sketch to Plan



Commander's Intent (Army):

 A clear, concise statement of what the force must do and the conditions the force must meet to succeed with respect to the enemy, terrain, and desired end state. (FM 3-0)

Commander's Intent (Marine Corps):

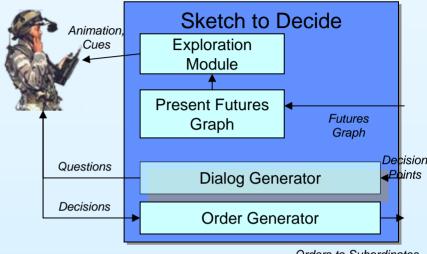
- A commander's clear, concise articulation of the propose(s) behind one or more tasks assigned to a subordinate. It is one of the two parts of every mission statement which guides the exercise of initiative in the absence of instructions.
- The commander must not be constrained to specifying intent in artificial, stilted language; however, he should be constrained to use of doctrinally accurate terminology.
 - "The red zone" and "thunder run" are not donctrinal terms.



"Sketch to Decide" Concept



- **Sketch to Decide** provides a window into the futures that have been generated by Blitzkrieg.
- Allows the commander to see how options may play out by following different "flows"
- Intuitive ways to depict likelihood, goodness, and flexibility as flows are explored

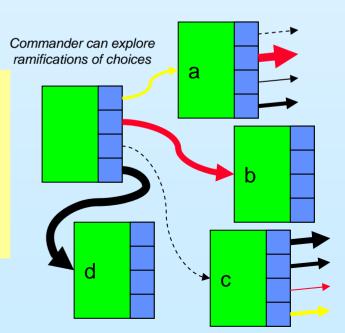


Orders to Subordinates

Hard: Presentation of branching possible futures to the commander in an intuitive way; Supporting user understanding of branch points across multiple decision dimensions and utilities

Why We Think We Can Get There:

- •Recent efforts to generate "comic graphs" to show passage of time (Forbus)
- •Recent work (Han) shows temporal relationships
- •Seedling effort with Draper Labs and MIT CSAIL (Davis)





Task 1b: Sketch to Decide



- Present multi-dimensional, decision-relevent information in a way that enhanced decision making
 - Enhance understanding of second- and third-order effects
- Exploration of the future graph
- From any "frame" of Sketch to Decide, the commander can perform Sketch to Plan
- Aids in commander's visualization:
 - The mental process of achieving a clear understanding of the force's current state with relation to the enemy and environment (situational understanding), and developing a desired end state which represents mission accomplishment and the key tasks that move the force from its current state to the end state (commander's intent). (FM 6-0)
- Expectation:
 - Technical approach to presentation of multi-dimensional information that AIDS in understanding rather than increasing cognitive load



"Blitzkrieg" Concept



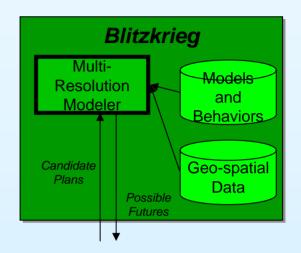
- High speed combat model links the portfolio of plans to potential battlefield outcomes
- Uses multi-resolution modeling (components of varying resolution) to achieve acceleration
- No human intervention during execution
- Uses hybrid of quantitative and qualitative methods to identify branch points
- Permits large time warping

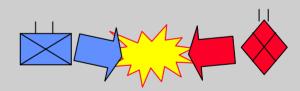
DARPA Hard:

- •Determining qualitative branch points and using quantitative techniques to assess likelihoods of each branch
- •Determining when and how to dynamically adjust model resolution to answer the appropriate question
- •Massive time warping with little loss of fidelity

Why We Think We Can Get There:

- •Multi-trajectory simulation research (Gilmer, Sullivan)
- •Hybrid of quantitative modeling and qualitative physics (Forbus)





Example: two forces collide.

Qualitatively different outcomes include:

- •Blue is destroyed
- •Red is destroyed
- •Blue begins to lose and withdraws
- •Red begins to lose and withdraws
- •The two forces choose not to engage
- •And so on...



Task 2: Blitzkrieg



- Does not do planning; generates futures from options generated by humans
- Generates a broad set of qualitatively different futures, the "futures graph"
- May or may not have SAF-like intelligence of actors
- Probably aggregate level
- Integration of qualitative and quantitative methods
- Expectation: Offerors will describe the technical approach to building Blitzkrieg



"Crystal Ball" Concept



- During Option Generation
 - Clusters outputs of Blitzkrieg
 - Merges outputs from Blitzkrieg into graph of possible futures

 Computes likelihood, utility, and flexibility of possible futures During Execution Monitoring

- Monitors execution of operation
- Updates estimates of likelihood, utility, and flexibility

 Nominates futures for option generation Candidate Plans for Candidate ALL Sides ♠ Plans Sub-graph Select Nodes Possible Assemble for Re-▼ Futures Permutations of **Planning** Cluster Options for All and Bin Sides Select **Futures Upcoming** Crystal Ball Decision **Points** Assess Merge Likelihood, New Sub-Select Utility, graph into Nodes for update Flexibility **Futures** Pruning of Futures Graph Common Operating Picture

<u>DARPA Hard:</u> Maintaining an evolving graph of futures while identifying key branches for decision making **Why We Think We Can Get There:**

- •Seedling effort with ISI (Cohen)
- •Recent developments in machine learning: Incremental case-based reasoning (Cox), Hybrid Bayesian networks (Pattipati), Structured Bayesian networks (Koller)



Task 3: Crystal Ball



- Does not do planning
- Collects information from battle command systems to assess the state of the current operation
- Maintains futures graph
- Predicts likelihood of possible futures
- Identifies futures for pruning, re-planning, and decision support
- Expectation:
 - Technical approach to maintaining graph
 - Details on how various metrics associated with futures will be computed
 - Transparency to facilitate explainability of results and recommendations

"Task 4: Automated Options Gen.



- Modest effort
- Not the focus of Deep Green
- Given induction of plan and commander's intent, generate qualitatively different, relevant "mutations"
- Expectation:
 - Technical approach to creating qualitatively different mutations of the commander's plan



Task 5: Integration



- Two main purposes
 - Interoperability among Deep Green components
 - Interoperability with real battle command systems
- Shouldn't write a lot of code
- Independent!!!
- Establishes data standards, interfaces, APIs, etc.
 - Expected to peer review recommendations
 - Enforce decisions
- Develop overall architecture in a way that supports the multi-echelon goal of Deep Green in subsequent phases



Task 6: Test and Evaluation



- BAA provides guidance on goals and metrics
- DARPA expects offerors to propose test plans and methodologies
- OneSAF Objective System as major part of test harness
 - OOS is ITAR protected!
- External support from ARL, HRED
- Within first 90 days
 - Provide test harness to component developers for at-home testing
 - Identify needed enhancements to OOS
 - Identify tentative test scenarios for Phases I and II
- Develop test scenarios
- Test readiness reviews
- Two tests per phase



Demonstration and Test Plan



Phase I "Functional Components"

12 months



SAF Plan View Display



Deep Green

- Component tests
- Mid Intensity Conflict (e.g., 3rd ID during invasion of Iraq, Masr al Sharif)
- Test SMEs: Component testers

- SMEs interact directly with various components
- OneSAF used as exercise driver

Phase II

"Functional, Integrated System"



Battle Command

Deep Green

- A series of force-on-force, humanin-the-loop experiments in a simulation center
- Counter-insurgency operations
- Test SMEs: Commander, S-3 (operations officer), and S-2 (intelligence officer)
- Staff interacts directly with the Commander's Associate
- OneSAF used as exercise driver

Phase III "Integrated with Battle Command"

12 months



Battle Command



CPoF with Deep Green "Under the Hood"

- A series of force-on-force, human-inthe-loop experiments in a simulation center and a tactical environment
- Large, Three-Block War Operation (e.g., Falluja or Basra)
- Test SMEs: Commander, S-3 (operations officer), fire support officer, engineer officer, and S-2 (intelligence officer)
- Staff interacts with Commander's Associate through CPoF
- OneSAF used as exercise driver



Go/No Go Criteria



Task	Phase I	Phase II	Phase III
Sketch to Plan: Accurate recognition of sketched MIL STD 2525b symbols. $F = (2 \times H \times P) / (H + P) \times 100, \text{ where}$ $\#T = \text{number of symbols that should be correctly interpreted}$ $\#I = \text{number of symbols that are interpreted}$ $\#I = \text{Hit rate} = \text{number of correctly interpreted symbols } / \#T$ $P = \text{Precision} = 1 - \text{False positive rate} = \text{number of correctly interpreted symbols } / \#I$	A subset of the MIL STD 2525b symbols (approx. $1/5$ th of the total) are commonly used when describing military operations. For this subset, $F > 90\%$	For a subset consisting of 80% of all MIL STD 2525b symbols, F > 90%	For all MIL STD 2525b symbols, F > 90%
Sketch to Plan: Accurate machine induction of user's intended plan. Subject matter experts judge key aspects of machine-induced plans by playing them through OneSAF. S = (# aspects of plan judged to be correct / # aspects of plan entered by user) x 100	S > 70%	S > 80%	S > 90%
Crystal Ball: Reduce blind alleys during execution. A "blind alley" occurs during execution when Blue reaches an unpredicted or ill-prepared state (less than three good options available). A = (# blind alleys with Crystal Ball / # blind alleys without Crystal Ball) x 100	A < 80%	A < 50%	A < 20%
<u>Blitzkrieg:</u> Reduced time to evaluate combinations of representative Blue and Red courses of action. (Canonical test case will involve 3 Blue COAs vs. 3 Red COAs.)	Today: 120 min Deep Green < 30 min.	Today: 120 min. Deep Green < 10 min.	Today: 120 min. Deep Green < 3 min.
Overall System: Reduce staff requirements. Measured by reduction in staff usually needed to accomplish brigade planning/execution for the milestone scenario. T = # Staff With Deep Green / # Staff Without Deep Green	T<80%	T < 50%	T < 25%
Overall System: Commander's Performance. Measured by a single numerical score P, computed as a weighted function $\mathbf{f}(\bullet)$ of mission accomplishment, friendly losses, enemy losses, neutral losses, time to accomplish tasks, and various human factors. P = $\mathbf{f}(\text{with Deep Green}) / \mathbf{f}(\text{without Deep Green})$	P>= 1	P > 1	P > 2



General Notes (1 of 2)



- Tasks awarded separately, but this WILL be one team
 - Associate Contractor Agreements
- To be considered responsive, address all boxes from the architecture pictures for your components
- Propose Phase I with options for Phases II and III
- SMEs will be a program asset
- Planning for three 12-month phases
- Address all BFAs for each phase, as well as COE, etc. For instance, how can Crystal Ball facilitate more dynamic intelligence collection planning?



General Notes (2 of 2)



- Use services and functions allocated to other components of Deep Green rather than create redundant capabilities
- OOS is ITAR protected, so precautions have to be taken by ALL performers
- Reports from seedlings will be provided GFI to all performers
- All software, documentation, and data will be unlimited use
- IF there are more than one performer per task, downselection will be made at the end of Phase I.
- All components as learning agents.